

RECEIPT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al.

Title: COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION

Docket No.: 875.032US1

Filed: October 12, 2000

Examiner:

Serial No.: 09/689,136

Due Date: N/A

Group Art Unit: 1641

Commissioner for Patents  
Washington, D.C. 20231

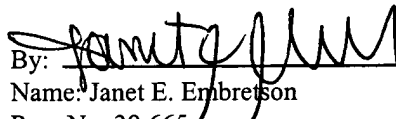
We are transmitting herewith the attached:

- ☒ Communication Re: Incorrect Filing Receipt (1 pg.)
- ☒ Copy of Filing Receipt (1 pg.)
- ☒ Copy of Declaration (3 pgs.)
- ☒ A return postcard.

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No Additional fee is required.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
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By:   
Name: Janet E. Embretson  
Reg. No. 39,665  
JEE:CMG:pms

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 24 day of October, 2001.

PAULA SMYKALSKI  
Name

Paula Sz  
Signature

S/N 09/689,136

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|             |  |                      |
|-------------|--|----------------------|
| Applicant:  | John F. Engelhardt et al.                          | Examiner:            |
| Serial No.: | 09/689,136   | Group Art Unit: 1641 |
| Filed:      | October 12, 2000                                   | Docket: 875.032US1   |
| Title:      | COMPOUNDS AND METHODS TO ENHANCE rAAV TRANSDUCTION |                      |

COMMUNICATION RE: INCORRECT FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

Applicants hereby request correction of the Filing Receipt with respect to the above-identified patent application. In the Filing Receipt received October 4, 2001, (copy enclosed), the continuing data in the Domestic Priority data section is incorrect. It should read: **This application is a con of PCT/US00/15700 06/08/2000 which claims benefit of 60/138,188 06/08/1999 and claims benefit of 60/201,089 05/02/2000.** This is evidenced by the Combined Declaration and Power of Attorney (copy enclosed).

Applicants would appreciate the above-identified printing error be corrected and that a new "corrected" filing receipt be sent to Applicants' representatives at the address given below.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

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Date

October 24, 2001

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Janet E. Embretson  
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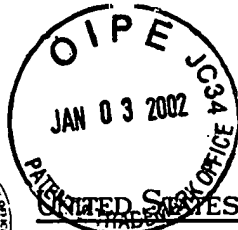
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PAULIASMYKALSKI  
Name

Paula S  
Signature



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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|------------------|----------|------------|------------|
| 09/689,136         | 10/12/2000  | 1632         | 1644          | 875.032US1       | 28       | 141        | 1          |

CONFIRMATION NO. 7933

## CORRECTED FILING RECEIPT



\*OC00000006817492\*

21186  
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.  
1600 TCF TOWER  
121 SOUTH 8TH STREET  
MINNEAPOLIS, MN 55402

Date Mailed: 10/01/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

John F. Engelhardt, Iowa City, IA;  
Dongsheng Duan, Iowa City, IA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF PCT/US00/15700 06/08/2000  
AND CLAIMS BENEFIT OF 60/138,188 06/08/1999  
AND CLAIMS BENEFIT OF 60/201,089 05/02/2000

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## Foreign Applications

If Required, Foreign Filing License Granted 11/30/2000

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Schwegman, Lundberg,  
Woessner & Kluth, P.A.

\*\* SMALL ENTITY \*\*

OCT 04 2001

## Title

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Compounds and methods to enhance rAAV transduction

Preliminary Class